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UNITED STATES PATRIOT ACT/AML COMPLIANCE FORM

THE U.S. PATRIOT ACT IS DESIGNED TO DETECT AND DETER TERRORISTS IN THE U.S. AND ABROAD. AS A PROCESSOR, REFINER, AND RETAILER OF PRECIOUS METALS, ALEXY METALS ADHERES TO THE FORMAL ANTI-MONEY-LAUNDERING REQUIREMENTS OF THE U.S. PATRIOT ACT.

THOSE REGULATIONS REQUIRE THAT WE ACCUMULATE AND MAINTAIN CERTAIN INFORMATION ON ALL COMPANIES OR INDIVIDUALS WITH WHICH WE DO BUSINESS. WE REQUIRE COMPANIES TO PRINT AND MAIL/FAX THIS COMPLIANCE FORM TO OUR OFFICE.

SECTION 352 OF THE USA PATRIOT ACT REQUIRES THAT ALL "FINANCIAL INSTITUTIONS" CREATE AND IMPLEMENT AN ANTI-MONEY LAUNDERING (AML) PROGRAM. SUBSEQUENT MODIFICATIONS TO THE ORIGINAL BANK SECRECY ACT OF 1970, WHICH DEFINES WHAT AND WHO IS CLASSIFIED AS A "FINANCIAL INSTITUTION", NOW INCLUDE "DEALERS IN PRECIOUS METALS, STONES OR JEWELS".

ALL INFORMATION WILL BE KEPT CONFIDENTIAL. REFINING LOTS CANNOT BE SETTLED FOR NEW CUSTOMERS UNTIL THIS FORM IS COMPLETED AND RETURNED. PAYMENT WILL ONLY BE ISSUED TO THE COMPANY REPRESENTATIVE LISTED BELOW. (A NEW PAYEE REQUIRES SUBMISSION OF A NEW FORM.)

COMPANY OR OWNERS NAME(S): _____

PHYSICAL ADDRESS: _____

INDUSTRY: _____

TAXPAYER IDENTIFICATION NUMBER _____

ENTITY TYPE (I.E. SOLE PROPRIETOR, PARTNERSHIP, CORPORATION, OR LLC): _____

ARE YOU IN COMPLIANCE WITH THE U.S. PATRIOT ACT AND ITS ANTI-MONEY LAUNDERING REGULATIONS, OR ARE YOU EXEMPT? (PLEASE SELECT ONE OF THE FOLLOWING.):

_____ YES, WE ARE IN COMPLIANCE WITH THE PATRIOT ACT AS IT CONCERNS OUR INDUSTRY.

_____ NO, WE ARE NOT IN COMPLIANCE WITH THE PATRIOT ACT AS IT CONCERNS OUR INDUSTRY.

_____ YES, WE ARE EXEMPT FROM THE PATRIOT ACT AS IT CONCERNS OUR INDUSTRY.

REASON FOR EXEMPTION: _____

NAME OF COMPANY REPRESENTATIVE (PRINT): _____

SIGNATURE OF COMPANY REPRESENTATIVE: _____

DATE: _____